#### Does language have a logical structure?

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Rencontre NORMES, Paris https://www.seiller.org/Normes24.pdf

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# Logic and Language

#### Disclaimer

I will not discuss the history of work trying to relate logical systems and language: we will come at this from a quite different perspective.

- There is a complex structure to natural languages.
- The recent introduction of Large Language Models (LLM) witnesses that (at least part of) the structure of natural language can be extracted from the distributional content of a corpus.
- There has been some recent work trying to understand this structure (in particular by Danae-Bradley, Gastaldi, and Terilla).
- This talk is a kind of "mid-term report" on a collaboration with S. Jarvis, J.-L. Gastaldi, L. Pellissier, J. Terilla where we uncover a relation between this structure and some work from linear logic.
- We use this relation to get a better understanding of the structure, but it is only the first step in a much larger project: there is still a lot of work ahead!

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#### Background

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### Word embeddings

Deep neural network: a function  $f : \mathbf{R}^{n_0} \to \mathbf{R}^{n_k}$  explicitly expressed as a composition

$$\mathbf{R}^{n_0} \xrightarrow{f_1} \mathbf{R}^{n_1} \xrightarrow{f_2} \mathbf{R}^{n_2} \xrightarrow{f_3} \dots \xrightarrow{f_{k-1}} \mathbf{R}^{n_{k-1}} \xrightarrow{f_k} \mathbf{R}^{n_k}$$

where

$$f_i(x) = a(M_i x + b_i)$$

where  $M_i$  are  $n_i \times n_i$  matrices,  $b_i \in \mathbf{R}^{n_i}$  are *biases*, *a* is a (nonlinear) *activation* function, and *g* is an output function.

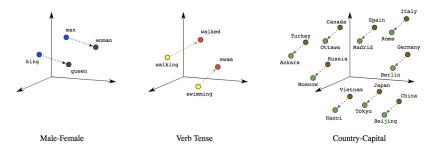
- Quick realisation: significant increase of performance when the first layer (i.e.  $f_1$ ) of a model trained for a linguistic task is used as first layer in another model aimed at a different linguistic task.
- People started training these word embeddings separately.

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# Semantic information in word embeddings

• Word embedding capture semantic information. E.g. the vector for Berlin minus the vector for Germany is numerically very near the vector for Paris minus the vector for France.



#### Figure: Image from developers.google.com

• The algorithm has been carefully studied and shown to perform an implicit factorization of a matrix comprised of information about how words are used in language.

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In summary, the math story of word embeddings goes like this:

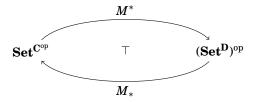
- Start from a set *D* of vocabulary words.
- **2** Move to the free vector space  $\mathbf{R}^{n_0}$  with  $n_0 = \operatorname{Card}(D)$ .
- Onsider a  $n_0 \times n_0$  matrix *M* consisting of rough statistical data about how words go with other words in a corpus of text.
- The columns of M (or more precisely the columns of a low-rank factorization of M), then interact with the vector space structure to reveal otherwise hidden syntactic and semantic information in the set D of words.
  - there is an exact solution for the low-rank factorization of a matrix M using the truncated singular value decomposition (SVD). This roughly corresponds to writing down the matrix that maps eigenvectors of  $MM^*$  to corresponding (i.e. same eigenvalue) eigenvectors of  $M^*M$ .
  - Note that *M* assumes nothing about the structure that *D* might possess: it is purely a witness of how *D* has been used in a particular corpus.

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# Category theory perspective

This can be reformulated in terms of categories;

- The matrix is replaced by a profunctor  $M : \mathbb{C}^{op} \times \mathbb{D} \to \mathbf{Set}$ .
- By standard manipulations (currying and lifting to presheaves), *f* gives rise to two functors defining an adjunction:



• The equivalent of eigenvectors are called *nuclei* of the adjunction, they are pairs of objects (A, B) such that  $M^*(A) = B$  and  $M_*(B) = A$ .

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# Back to language

Now consider that instead of a Set-valued profunctor, we start with

$$M: \mathbf{X}^{\mathrm{op}} \times \mathbf{X} \to \mathbf{2},$$

where **2** is the category  $0 \leftarrow 1$ , and **X**, **Y** are finite set (seen as discrete categories).

- The profunctor *M* is simply a binary relation;
- The maps  $M_*$  and  $M^*$  are defined on *subsets* of **C** and **D**. More precisely, if  $A \subset \mathbf{X}$  and  $B \subset \mathbf{Y}$ :

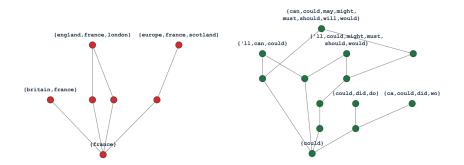
$$M^*(A) = \{b \in \mathbf{Y} \mid \forall a \in A, M(a, b) = 1\}$$

 $M_*(B) = \{a \in \mathbf{X} \mid \forall b \in B, M(a, b) = 1\}$ 

- The *nuclei* of the adjunction, i.e. pairs of subsets (A, B) such that  $M^*(A) = B$  and  $M_*(B) = A$  are *formal concepts*.
- From the corpus of Wikipedia articles, Danae-Bradley, Gastaldi and Terilla computed formal concepts. The following illustrations are taken from their article in the journal in the AMS.

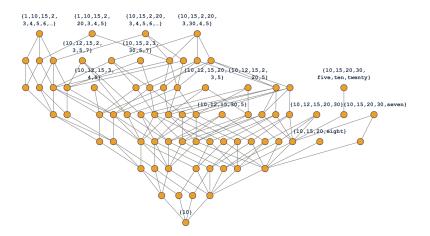
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### Back to language: the lattice of formal concepts



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### Back to language: the lattice of formal concepts



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### Where is the structure?

- We now want to understand the structure of nuclei (formal concepts)
- But only little is known: the set of nuclei has a natural lattice structure (shown in the previous slides).

This is the topic of the (second half of the) current talk: I will exhibit some of the structure of the nuclei.

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#### Logic and Structure

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# First approach: real-valued presheaves

The measure used in these is based on what is called *pointwise mutual information*, or *pmi*. It is defined as follows:

$$pmi(w_1, w_2) = \frac{p(w_1 \cdot w_2)}{p(w_1)p(w_2)},$$

where  $p(\cdot)$  denotes the probability that two words appear next to each other. More precisely, one considers;

$$[w_1, w_2]_m = -\log(\text{pmi}(w_1, w_2)).$$

This measure is used in word embeddings, and it can be computed easily from the corpus  $\rho$ : p(w) = number of occurrences of w in  $\rho$  / size of  $\rho$ .

- This naturally leads to consider the real numbers **R** (with the usual order) instead of the category **2** in the previous construction. Start with  $M: \mathbf{X} \times \mathbf{Y} \to \mathbf{R}$ , and try to understand the nuclei of the induced adjunction.
- A nuclei (A, B) can be understood as a pair of vectors:  $A \in \mathbf{R}^{\mathbf{X}}$ , and  $B \in \mathbf{R}^{\mathbf{Y}}$ .

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# PMI and the trefoil property

One key realisation was that pmi satisfies the *trefoil property* (also called the *2-cocycle property*) with respect to the concatenation of words:

 $pmi(w_1 \cdot w_2, w_3).pmi(w_1, w_2) = pmi(w_1, w_2 \cdot w_3)pmi(w_2, w_3)$ 

This translates as the following property of the measure:

 $[\![w_1 \cdot w_2, w_3]\!]_m + [\![w_1, w_2]\!]_m = [\![w_1, w_2 \cdot w_3]\!]_m + [\![w_2, w_3]\!]_m.$ 

This property has appeared in models of linear logic (interaction graphs) based on formal concepts!

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# Second approach: linear realisability

The trefoil property suggests another approach based on linear logic: we have a set D (of words, or simply characters) together with an associative operation (concatenation), and a measurement  $D \times D \rightarrow \mathbf{R}$  satisfying the trefoil property. We can therefore define a model of multiplicative linear logic (details: HdR).

- Consider the set of pairs  $(a, \alpha)$  of a word a and a real number  $\alpha$ .
- Extend the measurement on pairs:  $[(a, \alpha), (b, \beta)]_m = \alpha + \beta [a, b]_m$ .
- Define a binary relation:  $(a, \alpha) \perp (b, \beta) \Leftrightarrow \alpha + \beta [a, b]_m \le 0$ .
- Define a *type* as a set A such that there exists B with

$$A = {}^{\perp}B = \{(a, \alpha) \mid \forall (b, \beta) \in B, (a, \alpha) \perp (b, \beta)\}.$$

• Define the following construction on types:

$$A \to B = \{(c, \gamma) \mid \forall (a, \alpha) \in A, (c, \gamma) \cdot (a, \alpha) \in B$$

• This defines a model of Multiplicative Linear Logic!

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### Relating the constructions

One can notice that with this definition, the types are downward closed:

• if  $(a, \alpha) \in A$ , and  $\lambda > 0$ , then  $(a, \alpha - \lambda) \in A$ .

They can therefore be understood as vectors:  $A: D \to \mathbf{R} \cup \{-\infty\}$ , where

 $A(a) = \sup\{\alpha \in \mathbf{R} \mid (a, \alpha) \in A\}.$ 

In fact:

Theorem

Types in the model of MLL just described are exactly the nuclei of the adjunction obtained between  $\mathbf{R}$ -enriched presheaves.

# A mutual enrichment: composition

This provides an interesting new structure on the set of nuclei, and suggests that some extension of linear logic can describe the structure of language emerging from a corpus.

We give one example of how linear logic describes somehow complex structural properties of the set of nuclei.

• Remember we started from a measure  $D \times D \rightarrow \mathbf{R}$ . But in general, D can be considered as  $\Sigma^*$  where  $\Sigma$  is a base alphabet (of characters). In particular, it "contains" measures:

$$\Sigma \times \Sigma \times \cdots \times \Sigma \to \mathbf{R}.$$

- Consider the simple case of a trivalent measure  $\Sigma \times \Sigma \times \Sigma \to \mathbf{R}$ . Then it can be considered as a binary relation between  $\Sigma$  and  $\Sigma \times \Sigma$ . As such, one can consider a nuclei  $(A, A^{\perp})$ , where  $A^{\perp}$  is a presheaf over  $\Sigma \times \Sigma$ .
- But  $A^{\perp}$  can then be considered as a measure on  $\Sigma \times \Sigma$  from which one can define nuclei. There is thus a complex structure of *derived nuclei* to be understood.
- It can be shown to relate to the linear logic structure: if (B, C) is such a nuclei, then  $C = A \multimap B$ .

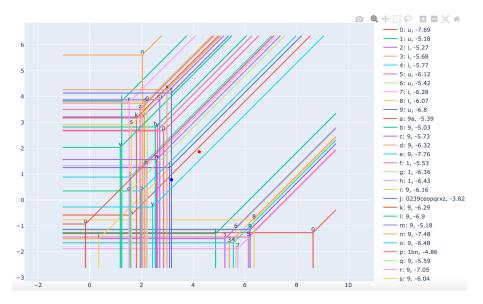
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# A mutual enrichment: tropical geometry

The presheaves approach brings in a different, more geometric, perspective.

- the map  $M^*M_*$  is an actual projection;
- the set of nuclei is a tropical space which we can try to understand.

#### Types over characters as a tropical space



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# Cells, DNA, and wall crossing formulas

The set of all types is composed of cells of different dimensions (here  $\leq 36$ ) embedded in a 36 dimensional space. We can define, given a vector  $A \in \mathbf{R}^{\Sigma}$ , it *dna*:

$$\operatorname{dna}(A): \begin{cases} \Sigma \to 2^{\Sigma} \\ a \mapsto \{b_0 \in \Sigma \mid \min_b \{M(a,b) - A(a)\} = M(a,b_0) - A(a)\} \end{cases}$$

Then we have the following theorems.

#### Theorem

A vector A is a type if and only if  $\bigcup_{a \in \Sigma} \operatorname{dna}(A) = \Sigma$ .

If we write  $\[\] A = \sum_{a \in \Sigma} \operatorname{Card}(\operatorname{dna}(A)(a)),\]$ 

#### Theorem

A cell of dimension 36 - d consists of types such that  $\sharp A = 36 + d$ .

Finally, moving from one cell to an adjacent cell consists in adding or removing one element in dna(A)(a) for some  $a \in \Sigma$ .

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# Tropical low rank approximations

- We would like to consider a tropical version of low-rank approximation;
- Does not really exist in the literature (but lively research topic);
- In our special case, it may be easier to define though.
- In order to properly represent the 36 dimensional space on a 2D figure, we had to consider some reduction;
- we reduce the  $36 \times 36$  matrix to a  $3 \times 36$  matrix by iterating the following reduction from a (n,k) matrix to a (n,k-1) matrix:
  - $\blacktriangleright$  compute the  $\ell^1$  distance between all "tropical stars"
  - reduce the matrix by removing the two columns corresponding to the closest crosses, and adding the pointwise min of those.
- in this way, we get an approximation whose basis vectors (in the 3-dimensional side) corresponds to sets of elements in  $\Sigma$ .

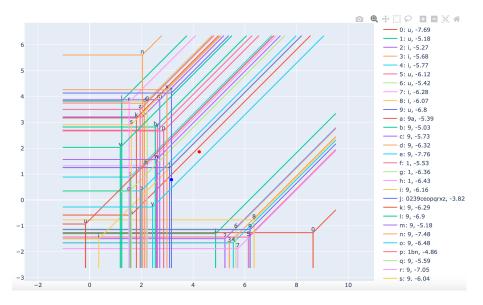
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#### **Dimension reduction: vectors**

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#### Types over characters as a tropical space



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